



# Prevention through Design

## in the 2020 workplace

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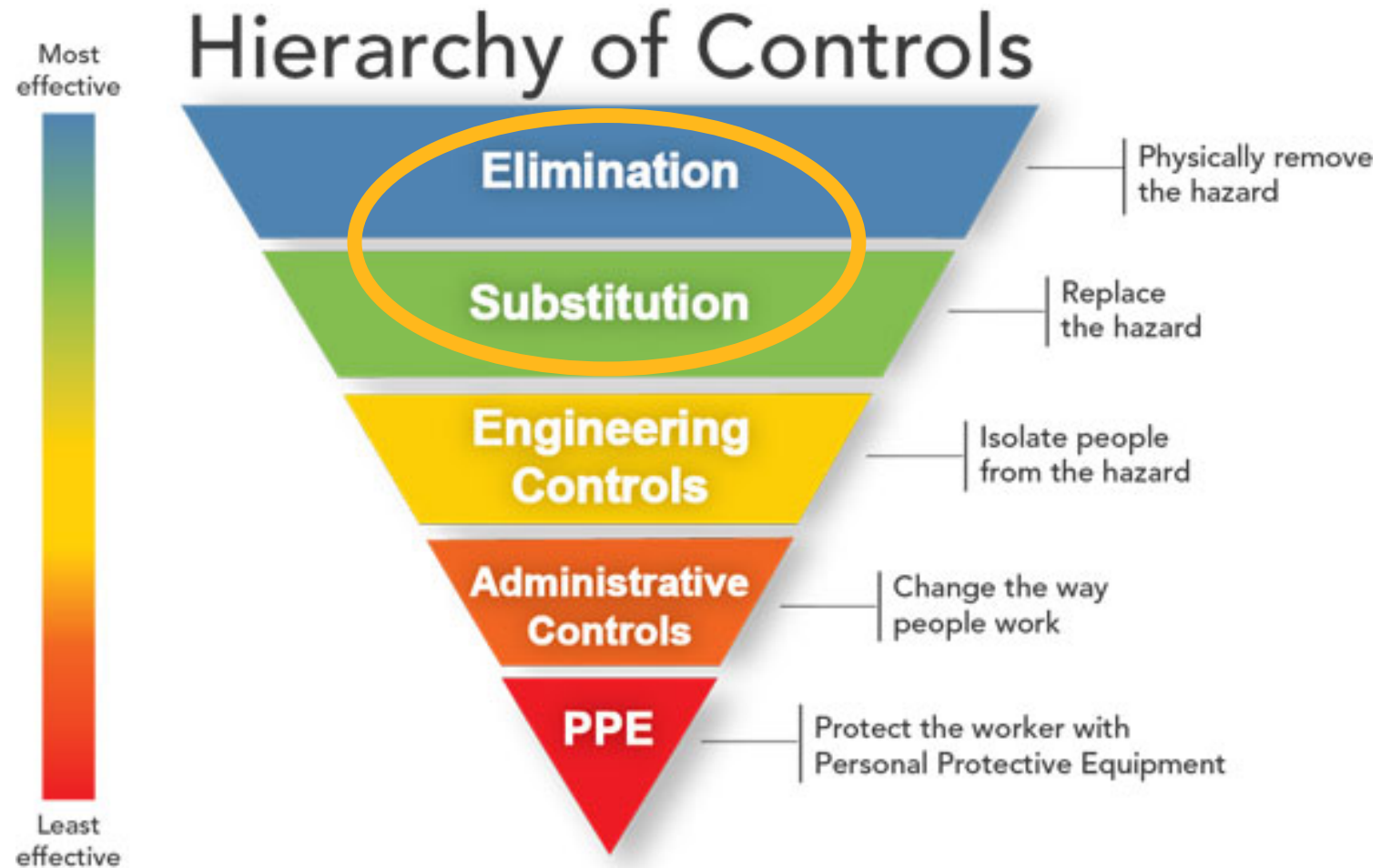
***DISCLAIMER:*** *The findings and conclusions in this report are those of the author and do not necessarily represent the official position of the National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention.*



# A Webinar on “Engineering Controls” ...

- By “Engineering Controls” many of us think of **PASSIVE** controls that work on their own without human intervention.
- “Administrative” and “PPE” controls require consistent, effective, and very **ACTIVE** performance by humans.
- If passive methods actually control the hazard, great!
- But what if they don't?

# **DESIGN** priority is SOURCE control





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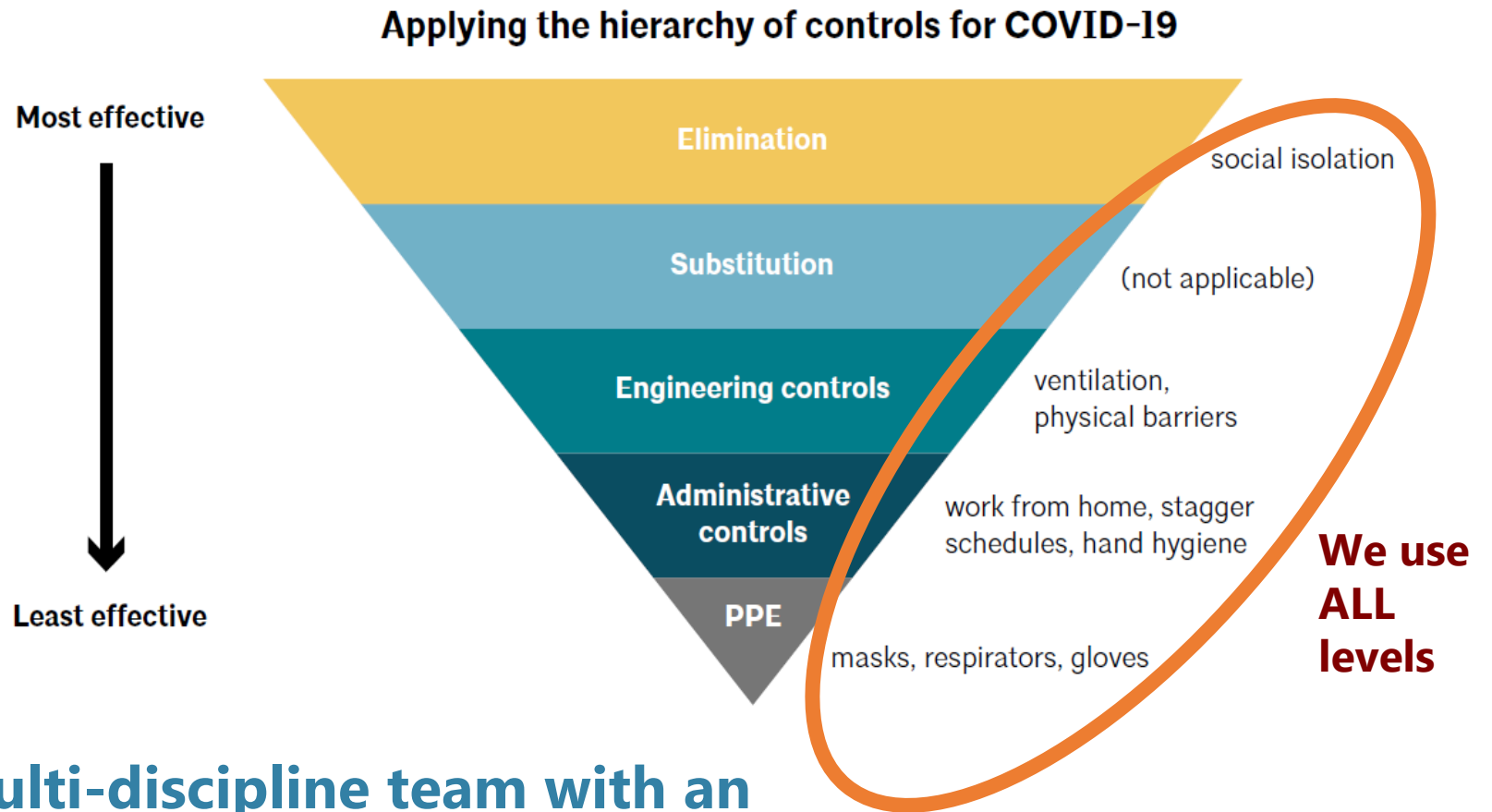
- We prefer to eliminate the hazard SOURCE to protect workers

**But the source here IS the worker!**

- Therefore many *actively managed* controls are needed to reach the top of the Hierarchy of Controls ... organized teamwork
- **DESIGN** must consider the entire Hierarchy of Controls

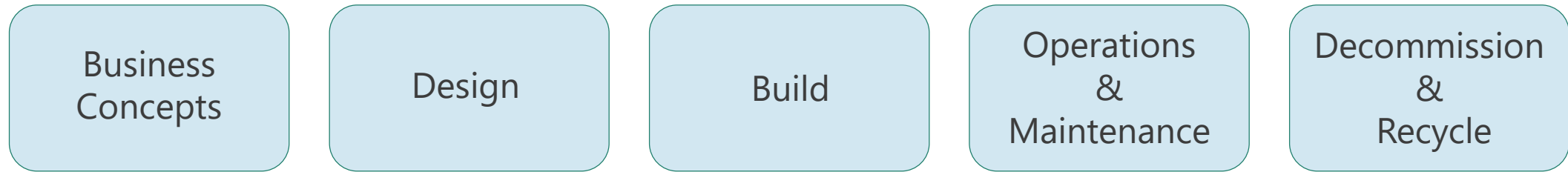
# American Institute of Architects (AIA) "Reopening America: Strategies for Safer Buildings" May 28, 2020

Figure 1: Applying the hierarchy of controls for COVID-19 (adapted from NIOSH)



**NEEDED:** A collaborative, multi-discipline team with an organized **PROCESS** to **DESIGN** your pandemic business system

# PtD Design Safety Review (DSR) *PROCESS*



## DSR Team Meetings

1. Collaborative, Multi-Discipline  $\leq 8$  ?  
(Key worker/labor, OSH/Medic, Ops/Maint, Designer, Mgt, Finance, HR, Communication)
2. Hazard ID
3. Risk Assessment and Ranking
4. Alternatives Assessment  
(Design-Out, Reduce, Control, Protect)
5. Change Plans, Contract Provisions, & Purchasing Specs
6. Provide Guidance for Remaining Hazards
7. Document All (hazard tracking table)

## Lessons Learned

- Experience
- Expertise
- Different views

# Risk and Alternatives Assessment

1.

## Hazard ID

PRELIMINARY HAZARD LIST					
Hazard ID	Hazardous Element	Causal Factor	Effect	RAC	Remarks
1.1	Roof Falls from roof while cleaning or maintaining skylights	Roof pitch or weather conditions cause workers to lose footing	Death from falls	1	
1.2	Interior ceiling and electrical Falls from man lift or storage shelves while changing light bulbs	Inability to access light bulbs over storage racks with man lift	Death from falls	1	Mitigation may also reduce maintenance manpower requirements



RISK ASSESSMENT MATRIX				
SEVERITY \ PROBABILITY	Catastrophic (1)	Critical (2)	Marginal (3)	Negligible (4)
Frequent (A)	High	High	Serious	Medium
Probable (B)	High	High	Serious	Medium
Occasional (C)	High	Serious	Medium	Low
Remote (D)	Serious	Medium	Medium	Low
Improbable (E)	Medium	Medium	Medium	Low
Eliminated (F)	Eliminated			



## Hazard Analysis

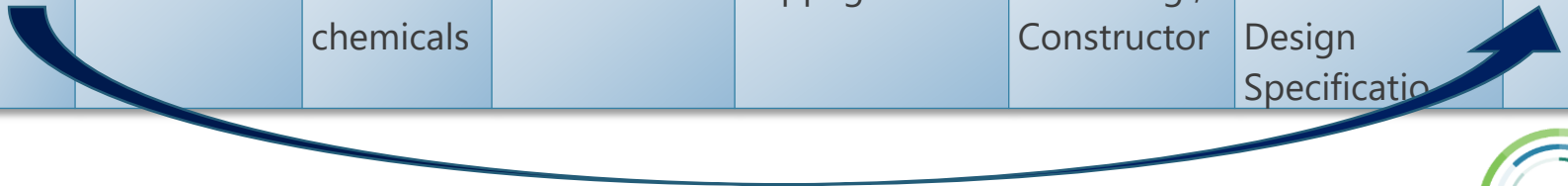
PRELIMINARY HAZARD ANALYSIS								
Hazard Source	System	Causal Factors	Effects	RAC	Comments	Recommended Actions	Control RAC	Standards
Falls from roof while cleaning or maintaining skylights	Roof	Roof pitch or weather conditions cause workers to lose footing	Deaths from falls	1	High	Frame exterior ladders. Tie-off points for work performed on roof.	1	OSHA
Falls from man lift or storage shelves while changing light bulbs	Interior ceiling and electrical	Inability to access light bulbs over storage racks with man lift	Deaths from falls	1	High	Mitigation may also reduce maintenance manpower requirements. Suspend fixtures to make them accessible to man lifts in building. Position fixtures so they are not obscured by shelving (consult customer for shelving requirements).	1	OSHA

2.

3.

## HAZARD TRACKING LIST


Risk	Hazard	Cause	Design Process element affected	Impact on Project Objectives	Risk Manager	Agreed Response to Risk	Expected Resulting Risk
HIGH	Falls from roof while cleaning or maintaining skylights	Roof pitch or weather conditions	(whatever makes sense for your design methods)	Cost, Schedule Slippage	Designer, Const. Mgr, Constructor	Fixed exterior ladder. Tie-off points for work performed on roof.	LOW
<b>HIGH</b>	Harm from chemicals	Exposure to chemicals	Investigative, Construction	Cost, Schedule Slippage	Designer, Const. Mgr, Constructor	Add HTRW CEGS to Design Specifications	<b>LOW</b>





# Checklists in Design Safety Reviews

- Do **NOT** start your first DSR team meeting with “let’s brainstorm!”
- Instead, get your DSR team reviewing **checklists** of common problems for your project type
- **Brainstorming** will follow



### Restart Readiness Checklist

For Coronavirus Disease 2019 (COVID-19)

Use this checklist (for non-healthcare employers) as a guide to resuming business operations as safely and healthy as possible for you, your employees, and the public. Some items may need to be ongoing, so regularly revisit the checklist while COVID-19 cases exist. Only complete those items that apply to your business. See the Resources section for links and QR codes to web resources.

#### 1. Prevent and reduce transmission among employees

*Monitor federal, state, and local public health communications about COVID-19.*

Item	Completed	Ongoing	Not Started	Not Applicable
Ensure workers have access to current information.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Check local public health information [5] and the CDC COVID-19 website [1] daily, or as needed depending on local conditions.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Reinforce how employees can protect themselves and others from COVID-19 by communicating the following:*

Item	Completed	Ongoing	Not Started	Not Applicable
If you have symptoms [6], notify your supervisor and stay home.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If you are sick, follow CDC-recommended steps [7], and do not return to work until you meet criteria to discontinue	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

[from CDC Resuming Business Toolkit]

# Sources for great “Checklist” material

- NIOSH [www.cdc.gov/niosh/emres/2019\\_ncov.html](http://www.cdc.gov/niosh/emres/2019_ncov.html)
- CDC [www.cdc.gov/coronavirus/2019-ncov/community/](http://www.cdc.gov/coronavirus/2019-ncov/community/)  
[www.cdc.gov/coronavirus/2019-ncov/community/worker-safety-support/index.html](http://www.cdc.gov/coronavirus/2019-ncov/community/worker-safety-support/index.html)

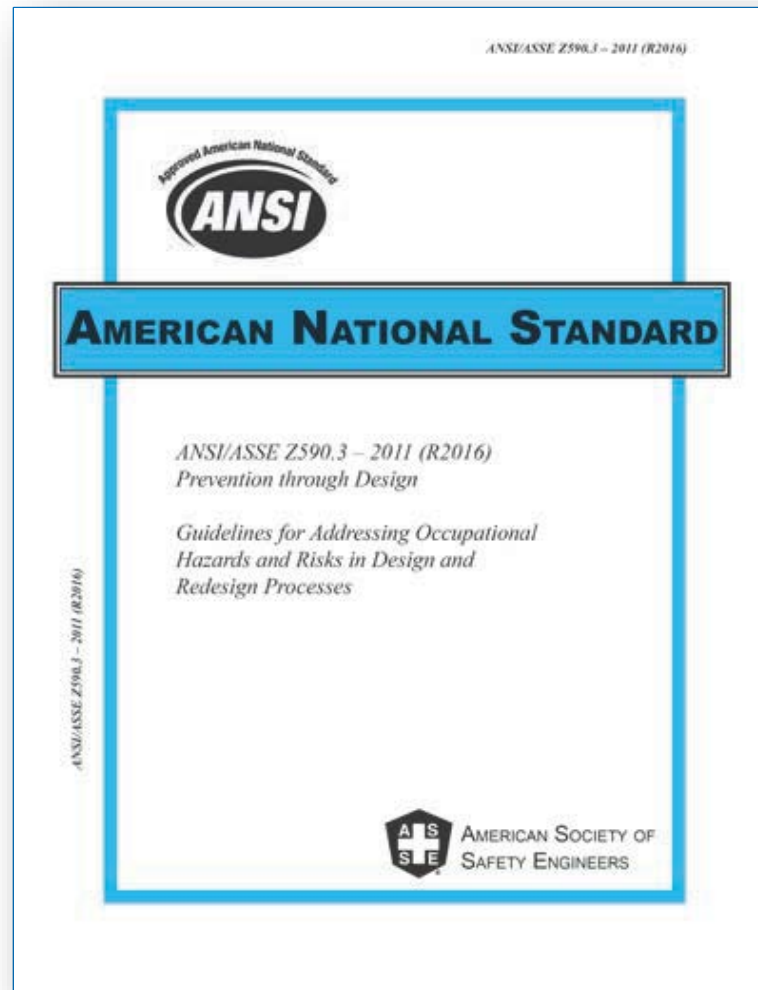
## Other:

- AIHA [www.aiha.org/public-resources/consumer-resources/coronavirus\\_outbreak\\_resources](http://www.aiha.org/public-resources/consumer-resources/coronavirus_outbreak_resources)
- NIEHS <https://tools.niehs.nih.gov/wetp/covid19worker/>
- AIA [www.aia.org/resources/6299247-reopening-america-strategies-for-safer-bui](http://www.aia.org/resources/6299247-reopening-america-strategies-for-safer-bui)
- ASHRAE [www.ashrae.org/covid19](http://www.ashrae.org/covid19)
- And more ...

# This is the PtD Design *Process*

ANSI/ASSP Z590.3

Prevention  
through  
Design



## GENERAL

*A Design Safety Review* **PROCESS** for any business and any hazard

## CONCISE

17 pages  
Helpful appendices

## VALUABLE

"System Safety Light"  
How to DO it



# Engineer's thoughts worth considering ...

- Interesting webinar by Allen & Shariff (free sign-up)

<https://www.allenshariff.com/general-contracting-feature-project-services/mechanical-design-to-fight-illness/>

- Interesting paper by Steve Taylor (active in ASHRAE)

<https://taylorengeers.com/taylor-engineering-covid-19-whitepaper>

*for example ...*

# Efficacy chart from Taylor Engineering ...

**MOST effective**

**ACTIVE, managed, SOURCE controls**

(plus workplace re-configure)

Measure	Effectiveness in Mitigation COVID-19 Transmission	First Cost Impact	Energy/ Environmental Impact
Maintain social distancing	★★★★★	\$\$\$ (note 1)	-
Frequently wash hands	★★★★★	-	-
Frequently disinfect common area and restroom surfaces	★★★★★	\$	-
Conduct meetings via computer video, not in-person	★★★★★	-	-
Work from home as often as possible	★★★★★	\$\$ (note 2)	-
Require employees with symptoms or diagnosed to stay home	★★★★★	-	-
Implement flexible paid sick leave policies so sick employees stay home	★★★★★	\$\$\$ (note 3)	-
Require masks be worn at all times indoors in areas where more than one person can gather	★★★★★	\$	-
Reduce office workstation density and install plexiglass guards	★★★★	\$\$\$\$\$	-
Require and pay for employees to be regularly tested	★★★★	\$\$	-
Install fever warning system thermal imaging equipment at public entries	★★★	\$\$	-
Convert restroom fixtures, doors, and toilet seats to be automatic and touch-free	★★★	\$\$\$\$	-
Install upper-room UV-C in restrooms	★★	\$\$\$	☹☹
Install upper-room UV-C in public waiting rooms	★★	\$\$\$	☹☹
Install portable HEPA air cleaner in elevators	★	\$	-
Upgrade to MERV 13 filters	★	\$	☹☹☹
Deactivate occupied-standby	★	\$	☹☹☹
Reset demand-controlled ventilation setpoint to 800 ppm	★	\$	☹☹☹
Recommission HVAC systems, update control sequences	★	\$\$	☹☹☹ (note 4)
Disable zonal fans such as ceiling fans where not essential for thermal comfort	★	-	-

**PASSIVE Engineering Controls:**

“No Touch”

Upper Room UVGI

Portable HEPA

MERV 13

Reset Demand-Controlled

Recommission HVAC’s

Disable some zonal fans

**LEAST effective**

Measure	Effectiveness in Mitigation COVID-19 Transmission	First Cost Impact	Energy/ Environmental Impact
Install portable HEPA air cleaner in workstations	★	\$\$	☹
Increase ventilation rates, DOAS systems	★	\$\$\$\$	☹☹☹
Increase zone ventilation rates, VAV systems	★	\$	☹☹☹
Increase AHU outdoor air rates, VAV systems	★	\$	☹☹☹
Operate ventilation systems 24/7	★	\$	☹☹☹☹
Install UV-C in air handlers	★	\$\$\$	☹
Add humidification, control to 40%RH	★	\$\$\$	☹☹☹

Notes:

1. Cost of social distancing would be indirect in management of employee scheduling to minimize density.
2. Cost increase is for remote office setup and possible productivity reduction.
3. Paid sick leave may already be [required in California](#).
4. Energy use is usually reduced when systems are recommissioned and substantially reduced if Guideline 36 control sequences are implemented.

**Maintain a MIX of ACTIVE source controls and PASSIVE cleaning and dilution controls.**

**AVOID A FALSE SENSE OF SECURITY for any overly-simplistic choice.**

SEE Disclaimer Not NIOSH Guidance





[www.dezeen.com/2020/04/28/face-shield-joe-doucet-coronavirus/](http://www.dezeen.com/2020/04/28/face-shield-joe-doucet-coronavirus/)

SEE Disclaimer  
Definitely not NIOSH  
Guidance

